

科技大擂台與AI對 話

3/23(六) 決賽評審會議

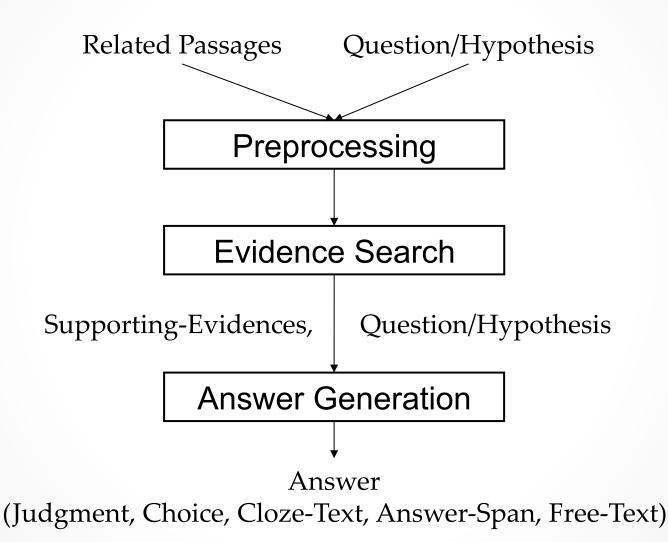
上午10:00~12:00

@西門 WESTAR

競賽獎金

| 決賽獎項基準 | 競賽獎金 |
|---------------------------------|--|
| 最高分隊伍超過人類預試得分 | 第 1 名: 2000 萬元 463.5萬人民幣 第 2 名: 500 萬元 |
| 最高分隊伍未達人類預試得分,但達 到人類預試得分之80% | 第1名:500萬元(特別獎) |
| 最高分隊伍未達人類預試得分之80% | 第1名:200萬元(特別獎) 第2名:50萬元(特別獎) 第3名:30萬元(特別獎) |

MR/QA: Natural Language Inference





團隊選擇題-答題成績總表

| 團隊名稱 | 團隊答對題 數 | 正確率 | 排名 |
|--------------|------------|-------|----|
| hungyilee | 537 | 0.537 | 1 |
| intellection | 478 | 0.478 | 2 |
| aieverywhere | 408 | 0.408 | 6 |
| The MUG | 359 | 0.359 | 7 |
| d204096001 | 422 | 0.422 | 5 |
| weiyunma | 475 | 0.475 | 3 |
| elandtw | 432 | 0.432 | 4 |
| FireFalcons | 未参賽 | | |
| Hakka | 320 | 0.320 | 8 |

團隊簡答題-答題成績總表

| 團隊名稱 | 團隊答對題數 | 正確率 |
|--------------|--------|------|
| hungyilee | 4 | 0.16 |
| intellection | 2 | 0.08 |
| aieverywhere | 4 | 0.16 |
| The MUG | 8 | 0.32 |
| d204096001 | 2 | 0.08 |
| weiyunma | 7 | 0.28 |
| elandtw | 2 | 0.08 |
| FireFalcons | 未參賽 | |
| Hakka | 4 | 0.16 |

Outline

- DNN Limitations Observed
 - Incapable of conducting common inference
 - o Need huge amount of data
 - o Usually uninterpretable
 - Vulnerable to irrelevant data
- Lessons from Non-NLP Machine Learning
- Characteristics of NLP/NLU
- Various Ways to Integrate Domain Knowledge



Incapable of High Level Learning (Lin,

2017)

- One correct prediction in the training set (Google Translation)
 - Benchmark: Y, Prediction: Y
 - (S)馬祖位於閩江口外,島嶼狹小,又無高山屏障,全年多風少雨。 Mazu is located outside the mouth of the Minjiang River. The island is small and has no alpine barrier. It is windy and rainless all year round.
 - (H)馬祖位於閩江口外,全年多風少雨。

Mazu is located outside the mouth of the Minjiang River, with more wind and less rain all year round.

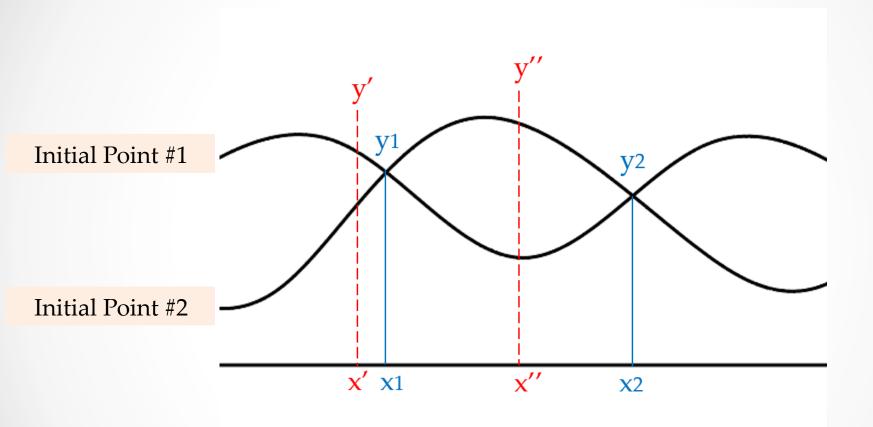
All words have valid embeddings.

Predictions for some created examples

- Benchmark: Y, Prediction: N
- (S)馬祖位於閩江口外,全年多風少雨。
- (H)馬祖位於閩江口外,全年多風少雨。
- Benchmark:N, Prediction:Y
 - (S)馬祖位於閩江口外,島嶼狹小,又無高山屏障,全年多風少雨。 (H)馬祖位於閩江口外,全年少風少雨。
- o Benchmark:N, Prediction:Y
 - (S)馬祖位於閩江口外,島嶼狹小,又無高山屏障,全年多風少雨。 (H)馬祖位於愛河口外,全年多風少雨。



DNN: a continuous mapping function





Need Aggregative Features Sometimes

- Not easy for DNN to learn comparison, aggregative features
 - Benchmark: Y, Prediction: N

```
(S)馬祖位於閩江口外,全年多風少雨。
(H)馬祖位於閩江口外,全年多風少雨。
```

- Without aggregative feature
 - Training Data: <A, A> -> Y; <B, B> -> Y; <C, C> -> Y
 - Test Data: <D, D> -> ?
- With aggregative feature
 - Training Data: <A, A, A-A=0> -> Y; <B, B, B-B=0> -> Y; <C, C, C-C=0> -> Y
 - Test Data: <D, D, D-D=0> -> Y



Incapable of High Level Learning (Lin,

2017)

- One correct prediction in the training set
 - Benchmark: Y, Prediction: Y
 - (S)馬祖 位於 閩江 口 外 , 島嶼 狹小 , 又 無 高山 屏障 , 全 年 多 風
 - (H)馬祖位於閩江口外,全年多風少雨。
- Predictions for some created examples
 - Benchmark: Y, Prediction: N (S) 馬祖 位於 閩江 口 外 , 全 年 多 風 少 雨 。 All words have valid embeddings. (H)馬祖位於閩江口外,全年多風少雨

- Benchmark: N, Prediction: Y
 - (S)馬祖位於閩江口外,島嶼狹小,又無高山屏障,全年多風 少雨。
 - (H)馬祖位於閩江口外,全年少風少雨。
 - Mazu is located outside the mouth of the Minjiang River, with less wind and less rain all year round. (Google Translation)
- Benchmark:N, Prediction: Y
 - (S)馬祖 位於 <mark>閩江</mark> 口 外 , 島嶼 狹小 , 又 無 高山 屏障 , 全 年 多 風 少雨。
 - (H)馬祖位於愛河口外,全年多風少雨。

Mazu is located outside the mouth of Aihe, with more wind and less rain all year round. (Google Translation)

DNN: Effective Surface Learner (1/3)

Hypothesis Only Baselines in Natural Language Inference [Poliak et al., 2018]

| | | DI | EV | 0.000 | | TE | ST | 1790 | | |
|-------------|----------|-------|------------|------------|---------------|-------|------------|------------|----------|-------|
| Dataset | Hyp-Only | MAJ | $ \Delta $ | $\Delta\%$ | Hyp-Only | MAJ | $ \Delta $ | $\Delta\%$ | Baseline | SOTA |
| | | | | | Recast | | | | | |
| DPR | 50.21 | 50.21 | 0.00 | 0.00 | 49.95 | 49.95 | 0.00 | 0.00 | 49.5 | 49.5 |
| SPR | 86.21 | 65.27 | +20.94 | +32.08 | 86.57 | 65.44 | +21.13 | +32.29 | 80.6 | 80.6 |
| FN+ | 62.43 | 56.79 | +5.64 | +9.31 | 61.11 | 57.48 | +3.63 | +6.32 | 80.5 | 80.5 |
| | | | | H | ıman Judged | | | | | |
| ADD-1 | 75.10 | 75.10 | 0.00 | 0.00 | 85.27 | 85.27 | 0.00 | 0.00 | 92.2 | 92.2 |
| SciTail | 66.56 | 50.38 | +16.18 | +32.12 | 66.56 | 60.04 | +6.52 | +10.86 | 70.6 | 77.3 |
| SICK | 56.76 | 56.76 | 0.00 | 0.00 | 56.87 | 56.87 | 0.00 | 0.00 | 56.87 | 84.6 |
| MPE | 40.20 | 40.20 | 0.00 | 0.00 | 42.40 | 42.40 | 0.00 | 0.00 | 41.7 | 56.3 |
| JOCI | 61.64 | 57.74 | +3.90 | +6.75 | 62.61 | 57.26 | +5.35 | +9.34 | - | _ |
| | | | | Hu | ıman Elicited | | | | | |
| SNLI | 69.17 | 33.82 | +35.35 | +104.52 | 69.00 | 34.28 | +34.72 | +101.28 | 78.2 | 89.3 |
| MNLI-1 | 55.52 | 35.45 | +20.07 | +56.61 | _ | 35.6 | | | 72.3 | 80.60 |
| MNLI-2 | 55.18 | 35.22 | +19.96 | +56.67 | _ | 36.5 | _ | _ | 72.1 | 83.21 |



DNN: Effective Surface Learner (2/3)

 Hypothesis Only Baselines in Natural Language Inference [Poliak et al., 2018]

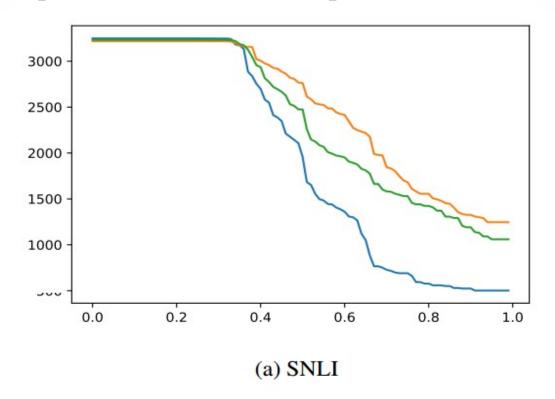


Figure 2: Plots showing the number of sentences per e p(l|w) >= x for at least one label l. Colors indicate



DNN: Effective Surface Learner (3/3)

Hypothesis Only Baselines in Natural Language Inference [Poliak et al., 2018]

| | | | SNLI | | | × | | |
|----------------|-------|------|-------------|-------|------|--------------------|-------------------|-----------------|
| Word | Score | Freq | Word | Score | Freq | Word | Score | Freq |
| instrument | 0.90 | 20 | tall | 0.93 | 44 | sleeping | 0.88 | 108 |
| touching | 0.83 | 12 | competition | 0.88 | 24 | driving | 0.81 | 53 |
| least | 0.90 | 10 | because | 0.83 | 23 | Nobody | 1.00 | <mark>52</mark> |
| Humans | 0.88 | 8 | birthday | 0.85 | 20 | <mark>alone</mark> | <mark>0.90</mark> | <mark>50</mark> |
| transportation | 0.86 | 7 | mom | 0.82 | 17 | cat | 0.84 | 49 |
| speaking | 0.86 | 7 | win | 0.88 | 16 | asleep | 0.91 | 43 |
| screen | 0.86 | 7 | got | 0.81 | 16 | <mark>no</mark> | 0.84 | 31 |
| arts | 0.86 | 7 | trip | 0.93 | 15 | empty | 0.93 | <mark>28</mark> |
| activity | 0.86 | 7 | tries | 0.87 | 15 | eats | 0.83 | 24 |
| opposing | 1.00 | 5 | owner | 0.87 | 15 | sleeps | 0.95 | 20 |

(a) entailment (b) neutral (c) contradiction



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Solving Algebraic Word Problems

- Purely statistical approaches (Kushman etc., 2014; Roy et al., 2015):
 - Linear algebraic questions
 - Mapping problem to a set of equations
 - Generate numerical answers

| Derivation 2 | |
|------------------------|--|
| Word problem | A motorist drove 2 hours at one speed and then for 3 hours at another speed. He covered a distance of 252 kilometers. If he had traveled 4 hours at the first speed and 1 hour at the second speed, he would have covered 244 kilometers. Find two speeds? |
| Aligned template | $n_1 \times u_1^1 + n_2 \times u_2^1 - n_3 = 0$ $n_4 \times u_1^2 + n_5 \times u_2^2 - n_6 = 0$ |
| Instantiated equations | 2x + 3y - 252 = 0 	 4x + 1y - 244 = 0 |
| Answer | $ \begin{array}{rcl} x & = & 48 \\ y & = & 52 \end{array} $ |



n = number variable

u = unknown variable

Template-based (Kushman et al., 2014): (1/2)

- Dataset: Algebra.com
 - Linear algebra question (#514)
- Manually label equation

```
lEquations [2]
0 : children+adults=278.0
1 : (1.5*children)+(4.0*adults)=792.0
```

Generalize equation to a template with two rules

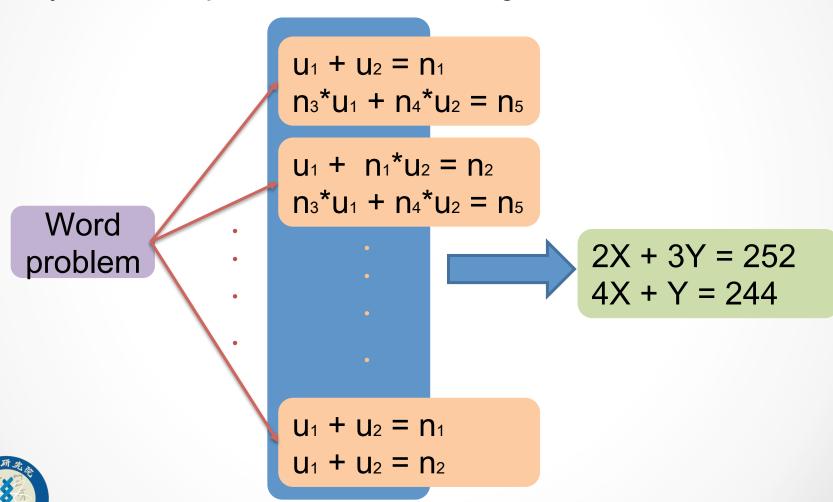
$$u_1^1 + u_2^1 - n_1 = 0$$

$$n_2 \times u_1^2 + n_3 \times u_2^2 - n_4 = 0$$



Template-based (Kushman et al., 2014): (2/2)

Try each template and variable alignment with a score



Solving Algebraic Word Problems

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n = number variable

u = unknown variable

Experiment and Result

- 5-fold cross-validation (Train #400, Test #100)
- Semi-supervised
 - 5EQ: 5 seed questions annotated with equation
 - 5EQ+ANS: 5 seed questions annotated with equation
 + answers of remaining problems
- Fully supervised
 - ALLEQ: full equation systems

| | Equation | Answer |
|---------|----------|----------|
| | accuracy | accuracy |
| 5EQ | 20.4 | 20.8 |
| 5EQ+ANS | 45.7 | 46.1 |
| ALLEQ | 66.1 | 68.7 |



Need Huge Amount of Data (1/2)

- A DNN approach for AWP (Ling et al., ACL-2017)
 - Training data-set: 100,949 MWPS

| Model | Perplexity | BLEU | Accuracy |
|--------------|------------|------|----------|
| Seq2Seq | 524.7 | 8.57 | 20.8 |
| +Copy Input | 46.8 | 21.3 | 20.4 |
| +Copy Output | 45.9 | 20.6 | 20.2 |
| Our Model | 28.5 | 27.2 | 36.4 |

Table 3: Results over the test set measured in Perplexity, BLEU and Accuracy.



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Need Huge Amount of Data (2/2)

 Learning efficiency and performance of DNN are far less than template-based approach

o #100,949 vs. #514 MWPs

o 36.4% vs. 68.7%

| | Equation | Answer |
|---------|----------|----------|
| | accuracy | accuracy |
| 5EQ | 20.4 | 20.8 |
| 5EQ+ANS | 45.7 | 46.1 |
| ALLEQ | 66.1 | 68.7 |

- People do not learn math concepts directly from those examples
 - People first learn math concepts separately, then apply them to the problems
- Rationale learnt here is not the math concept



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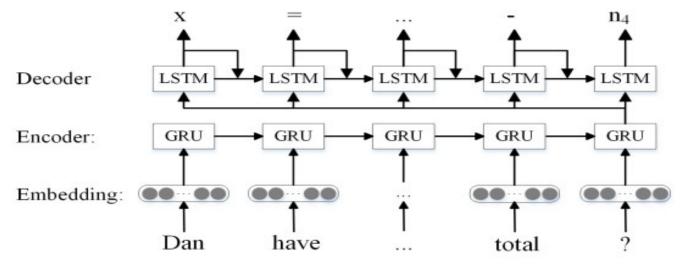


Seq2Seq MWP Solver (Wang et al., 2017)

Equation: x=5+4+3-2; solution: [10]

Applying number mapping to equation form

Model output (Equation template): $x=n_1+n_3+n_2-n_4$



Model input: Dan have n_1 pens and n_2 pencils, Jessica have n_3 more pens and n_4 less pencils than him. How many pens and pencils do Jessica have in total?

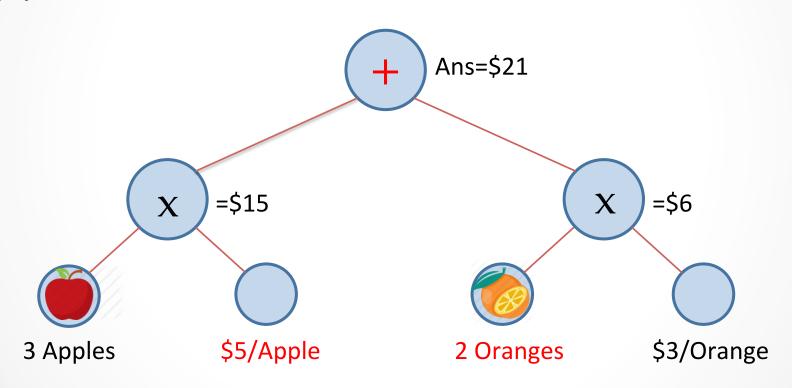
Number mapping:
$$\{n_1=5, n_2=3, n_3=4, n_4=2\}$$

Problem: Dan have 5 pens and 3 pencils, Jessica have 4 more pens and 2 less pencils than him. How many pens and pencils do Jessica have in total?



An uninterpretable case

Mary bought three apples and two oranges. Each apple is priced at \$5 and an orange is priced at \$3. How much money that Mary need to pay?





Multi-Step MWPs

A new building *needed 14 windows*. The builder had already *installed 5 of them*. If it takes *4 hours to install each window*, how long will it take him to install the rest?

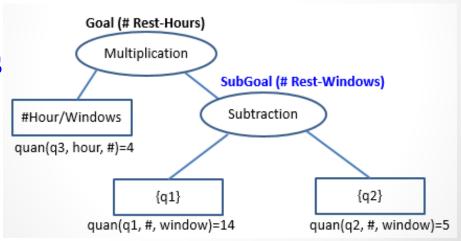
The ANSWER could be Solved by

```
(#Rest-Windows)=14-windows – 5-windows
```

○ (#Rest-Hours)

=(#Rest-Windows)

x 4 hours/window



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NLU Noisy Dataset

- (a.1)Additional Irrelevant quantity to a new Subject
- (a.2)Additional Irrelevant quantity to a new Entity
- (a.3)Additional Irrelevant quantity to a new *Modifier*
- (a.4) Re-Order the Quantities
- (ORG) Tim has 10 yellow flowers and 12 red flowers. How many flowers does Tim has?
- (a.1) Tim has ... Mary has 3 yellow flowers. How many ...
- (a.2) Tim has ... Tim also has 3 books. How many ...

mar

- (ORG1) Tom has 9 yellow balloons. Sara has 8 yellow balloons. How many yellow balloons do they have in total?
- (a.3) Tom has 8 red balloons and Sara has 8 yellow balloons . How many ...
- (a.4) Tom has 9 yellow balloons and 8 red balloons. Sara has 8 yellow balloons . How

Single-step Dataset Performance

| | AI2 | ILDS | NDS1 |
|-------------------|------|------|--------|
| Our System*1 | 81.5 | 81.0 | 82.1 |
| Roy2015 (MSMWP*2) | 78.0 | 73.9 | 28.5*4 |
| Hosseini 2014 | 77.7 | - | - |
| Roy2015 (SMWP*3) | - | 52.7 | - |
| Kushman 2014 | 64.0 | 73.7 | - |

^{*1: ([}Liang et al., 2017-2])

^{*2:} MSMWP: multiple-step MWP solving system ([Roy and Roth, 2015])

^{*3:} SMWP: single-step MWP solving system ([Roy et al., 2015])

^{*4:} We submit MWPs to Illinois Math Solver (https://cogcomp.cs.illinois.edu/page/demo_view/Math) in May and June, 2017.

Outline

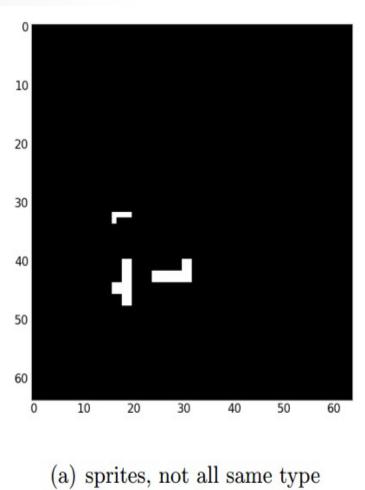
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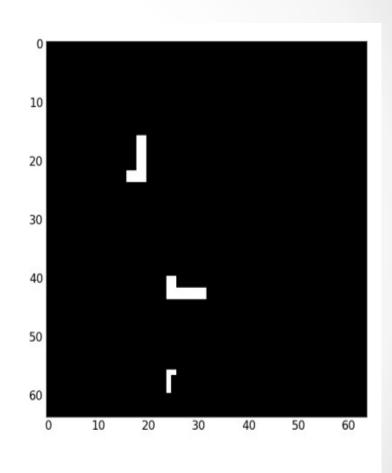


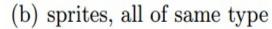
Knowledge Matters (Gulcehre and Bengio, 2013)

- Humans and animals can perform much more complex tasks than they can acquire using pure trial and error learning
 - This gap is filled by teaching
 - Shaping (Krueger and Dayan, 2009): a teacher decomposes a complete task into sub-components, thereby providing an easier path to learning
 - Curriculum Learning (Bengio et al., 2009): Humans and animals learn much better when the examples are not randomly presented but organized in a meaningful order
 - It achieves faster training in the online setting, and guides training towards better regions in parameter space.

Task (1/3) (Knowledge Matters; Gulcehre and Bengio, 2013)









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Task(2/3) (Knowledge Matters; Gulcehre and Bengio, 2013)

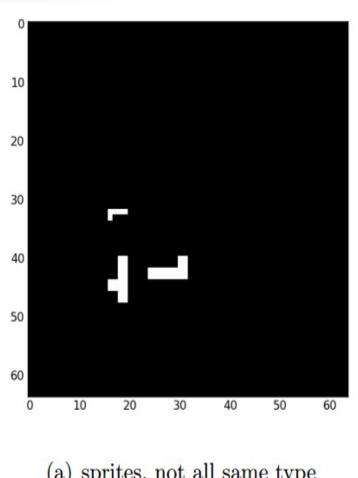
- 五格骨牌(Pentomino),又稱五連塊,
 - 每塊以五個全等的<u>正方形</u>連成,反射或旋轉視作同一種共 有十二種,可以英文字母代表。



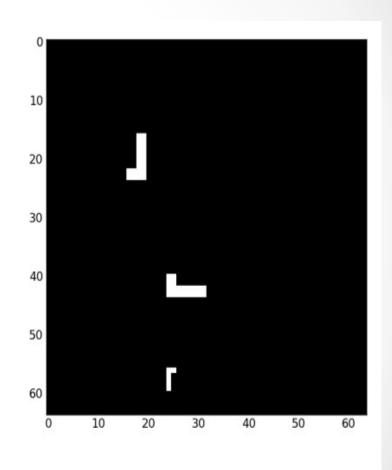


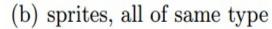
•32

Task (1/3) (Knowledge Matters; Gulcehre and Bengio, 2013)



(a) sprites, not all same type







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SMLP (2/3; Gulcehre and Bengio, 2013)

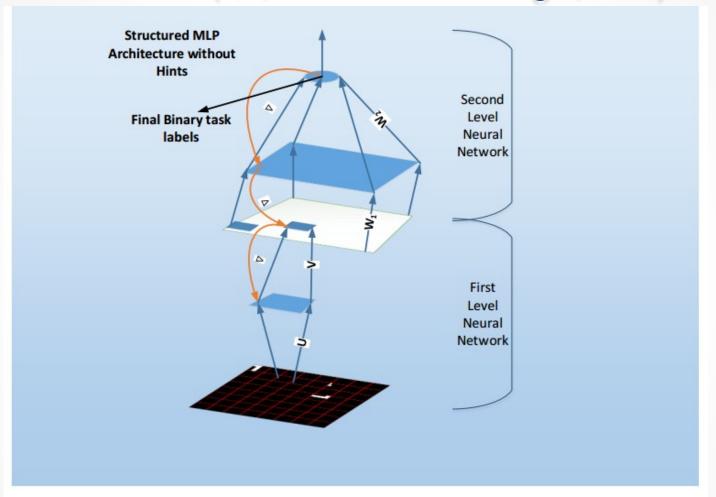




Figure 7: Structured MLP architecture, used without hints (SMLP-nohints). It is the same architecture as SMLP-hints (Figure 5) but with both parts (P1NN and P2NN) trained jointly with respect to the final binary classification task.

SMLP (3/3; Gulcehre and Bengio, 2013)

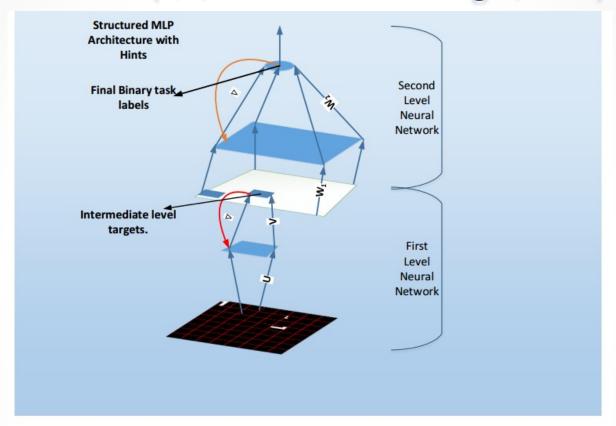


Figure 5: Structured MLP architecture, used with hints (trained in two phases, first P1NN, bottom two layers, then P2NN, top two layers). In SMLP-hints, P1NN is trained on each 8x8 patch extracted from the image and the softmax output probabilities of all 64 patches are concatenated into a 64×11 vector that forms the input of P2NN. Only U and V are learned in the P1NN and its output on each patch is fed into P2NN. The first level and the second level neural networks are trained separately, not jointly.



Knowledge Matters (5/8; Gulcehre and Bengio, 2013)

| Algorithm | 20k dat | taset | 40k dataset | | 80k dataset | |
|--|-------------------|---------------|-------------------|---------------|-------------------|---------------|
| 1990 C. 1991 P. 190 CL | Training Error | Test Error | Training Error | Test Error | Training Error | Test Error |
| SVM RBF | 26.2 | 50.2 | 28.2 | 50.2 | 30.2 | 49.6 |
| K Nearest Neighbors | 24.7 | 50.0 | 25.3 | 49.5 | 25.6 | 49.0 |
| Decision Tree | 5.8 | 48.6 | 6.3 | 49.4 | 6.9 | 49.9 |
| Randomized Trees | 3.2 | 49.8 | 3.4 | 50.5 | 3.5 | 49.1 |
| MLP | 26.5 | 49.3 | 33.2 | 49.9 | 27.2 | 50.1 |
| Convnet/Lenet5 | 50.6 | 49.8 | 49.4 | 49.8 | 50.2 | 49.8 |
| Maxout Convnet | 14.5 | 49.5 | 0.0 | 50.1 | 0.0 | 44.6 |
| 2 layer sDA | 49.4 | 50.3 | 50.2 | 50.3 | 49.7 | 50.3 |
| Struct. Supervised MLP w/o hints | 0.0 | 48.6 | 0.0 | 36.0 | 0.0 | 12.4 |
| Struct. MLP+CAE Supervised Finetuning | 50.5 | 49.7 | 49.8 | 49.7 | 50.3 | 49.7 |
| Struct. MLP+CAE+DAE, Supervised Finetuning | 49.1 | 49.7 | 49.4 | 49.7 | 50.1 | 49.7 |
| Struct. MLP+DAE+DAE, Supervised Finetuning | 49.5 | 50.3 | 49.7 | 49.8 | 50.3 | 49.7 |
| Struct. MLP with Hints | 0.21 | 30.7 | 0 | 3.1 | 0 | 0.01 |

Table 1: The error percentages with different learning algorithms on Pentomino dataset with different number of training examples.



Knowledge Matters (6/8; Gulcehre and Bengio, 2013)

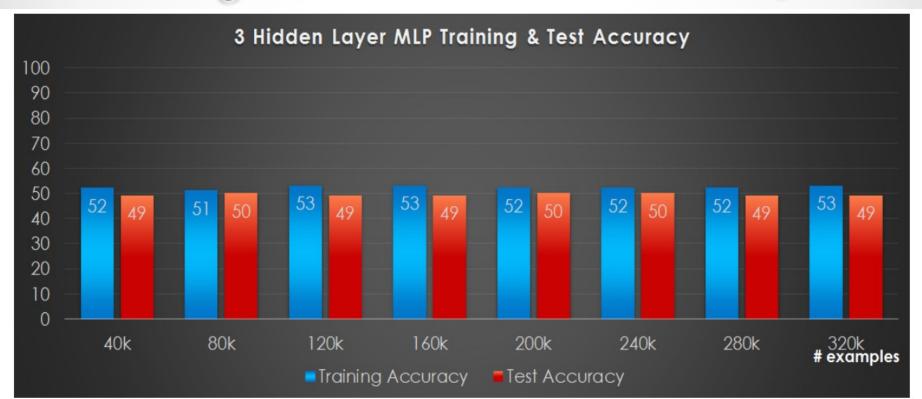


Figure 14: Training and test error bar charts for a regular MLP with 3 hidden layers. There is no significant improvement on the generalization error of the MLP as the new training examples are introduced.



Knowledge Matters (7/8; Gulcehre and Bengio, 2013)

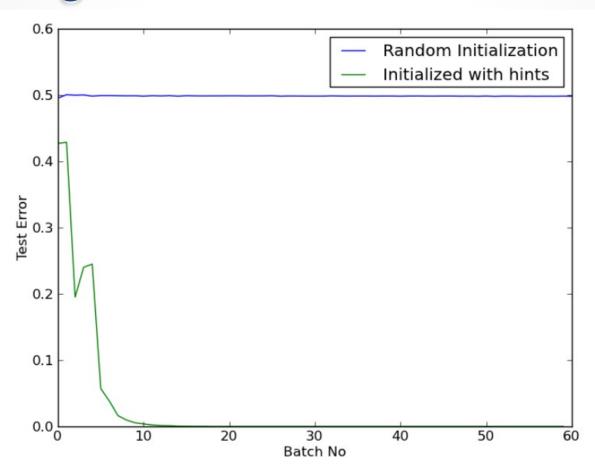


Figure 15: Plots showing the test error of SMLP with random initialization vs initializing with hint based training.



Some Observations (Bengio, 2009; 2013): 1/2

- Training deep architectures is easier if hints are provided about the function that intermediate levels should compute
- It is much easier to teach a network with supervised learning (i.e., concept) than to expect unsupervised learning to discover the concept
- It is more difficult to train deeper architectures with more abstract concepts



Some Observations (Gulcehre and Bengio, 2013): 2/2

- Directly training all the layers of a deep network together makes it difficult to exploit all the extra modeling power of a deeper architecture
- Black-box machine learning algorithms only got chance
 - It is hypothesized that the learning difficulty is due to the composition of two highly non-linear tasks
- More abstract learning tasks are more likely to yield effective local minima for neural networks
- Using a particular structure and guiding the learner allows to nail the task.



Select Learning Algm. (Bengio and LeCun, 2007)

- The quest for a completely general learning method is doomed to failure
 - We need to search for learning models that are well suited for a particular type of tasks.
- Prefer a deep network, with small dose of prior knowledge embedded in the architecture
 - Combined with a learning algorithm that can deal with millions of examples



Outline

- DNN Limitations Observed
 - o Incapable of conducting common inference
 - o Need huge amount of data
 - o Usually uninterpretable
- Lessons from Non-NLP Machine Learning
- Characteristics of NLP/NLU
- Various Ways to Integrate Domain Knowledge



NLP vs. Speech/Vision Recognition

- Animal can watch/listen, but only human can communicate with language.
- Symbolic Processing
 - Features and clues are more comprehensible
- Require more external knowledge
 - Data alone is not enough
- More knowledge is learnt from teachers
 - Domain Concept is essential
- Inference is more Abstract

More difficult for DNN

Lexicon Relationship

 Three girls and two boys enter a classroom. How many people are there?

```
Need to know: "girl -> people""boy -> people"
```

- Weakly supervised learning can catch the relation from the training data
 - Coverage rate is small
- WordNet could supplement the training data



Common Sense (facts)

- 小綠和阿夫猜拳,小綠出剪刀,阿夫出布,兩人共伸 出了幾根手指頭?
- Xiao-Green and A-fu play "Rock Paper Scissors", Xiao-Green out of the scissors, A-fu out of the paper, how many fingers did the two stick out? (Google Translation)
 - Need to know: "scissor -> 2 fingers"
 "paper -> 5 fingers"
- · 姐姐搭車到圖書館,從8點20分上車到9點10分下車, 經過了幾分鐘?
- The sister took a ride to the library and got on at 8:20 to get off at 9:10. How many minutes have passed? (Google Translation)

leed to know: "1 hr = 60 minutes"

Keh-Yih Su, Mar. 30, 2018

Common Sense (reasoning): 1/2

- train.G1.03.000390
 有9人排隊讓老師改作業,老師改好了4個小朋友的作業, 剩下幾人在排隊?
- There were 9 people waiting in line for the teacher to modify their homework. The teacher modified the homework of 4 children. How many people are waiting in line? (Google Translation)
 - Need to know: "改好作業" 表示"離開排隊的隊伍" "modified the homework" means "Leaving the queued team"
- Dan 's cat had kittens. He gave 7 to Tim and 4 to Jason.
 He now has 5 kittens. How many kittens did he have to start with?

Implicit implication: the meaning of "Dan's cat had kittens" equals Dan had kittens" in this problem

Common Sense (reasoning): 2/2

- Beijing office called us yesterday (Analogy derived from Jerry Hobb's example)
 - It is not a building in Beijing that gave us a call
 - But the people who is in Beijing branch (of our company) gave us a call

 This is the key problem that AI/DNN needs to solve (for NLU task)



Domain Knowledge

- 長3公尺、寬2公尺的長方形,面積是多少平方公尺?
- A rectangle of 3 meters in length and 2 meters in width. What is the square meter? (Google Translation)
 - Need to know: "Rectangle-Area = Length x Width"
- 1包鐵蛋有8個,點點分<u>8分之3</u>包鐵蛋給妹妹,妹妹可以分到幾個鐵蛋?
- 1 package iron egg have eight, Little-Little divided 3/8 package iron egg to the sister, how many iron egg can the sister be assigned? (Google Translation)
 - Need to know: Physical meaning of "Fraction"

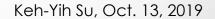
NLP vs. Speech/Vision Recognition

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NLP Remains the Domain Problems

- Christ Manning made the following claims (2015):
 - "The gains so far have not so much been from true Deep Learning as from the use of distributed word representations"
 - "problems in higher-level language processing have not seen the dramatic error rate reductions from deep learning that have been seen in speech recognition and in object recognition in vision....and this remains the case"
 - "Our field is the domain science of language technology; it's not about the best method of machine learning—the central issue remains the domain problems"



Outline

- DNN Limitations Observed
 - o Incapable of conducting common inference
 - o Need huge amount of data
 - o Usually uninterpretable
 - Vulnerable to irrelevant data
- Lessons from Non-NLP Machine Learning
- Characteristics of NLP/NLU
- Various Ways to Integrate Domain Knowledge



Integrate Domain-Concept into DNN (1/3)

- Preprocess the input text to handle OOV (UNK)
 - E.g., convert personal-names and numerical values into metatokens in MWP
- Incorporate additional human-crafted features into DNN
 - E.g., add Exactly-the-Same feature in Q&A task
- Adopt existing linguistic resources as external knowledge
 - E.g., WordNet and ConceptNet
- Adopt a better intermediate representation to train DNN
 - Decompose the task into several meaningful sub-tasks
 - E.g., Logic predicate for MWP



FinNum Classification Task

• Purpose: To understand the fine-grained numeral information in financial Tweet

"8" is a numeral about quantity

"17.99" is about stop loss price

"200" is a indicator of technical indicator

(T1) 8 breakouts: \$CHMT (stop: \$17.99), \$FLO (200-day MA), \$OMX (gap), \$SIRO (gap). One sub-\$1 stock. Modest selection on attempted swing low.



Pre-processing

- Apply Normalization Processes before the diversity evaluation
 - Stop word removal
 - Named entity (person names and quantity values) normalization

"Sara has 16 red flowers and John has 24 yellow flowers."

"PERSON-1 has Quantity-1 red flowers and PERSON-2 has Quantity-24 yellow flowers."



Experimental Results

| | CNN | | RN | N | RNN+CNN | |
|---------|-------|-------|-------|-------|---------|-------|
| | Micro | Macro | Micro | Macro | Micro | Macro |
| None | 81.83 | 69.54 | 84.22 | 73.36 | 82.71 | 69.63 |
| +POS&NE | 88.21 | 79.14 | 88.45 | 78.63 | 89.72 | 80.93 |

Task-1 testing set performance

- OOVs provide no useful Information
 - OOVs: 30+% on Development and Test sets
- Linguistic Information (POS&NE) attached to OOVs improved the performance significantly $(4\% \sim 10\%)$.



Integrate Domain-Concept into DNN (1/3)

- Preprocess the input text
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Add Aggregative Features

- "If it can be exactly matched to a word in the Question, either in its original, lowercase or lemma form" (Chen et al., 2017)
 - o For their DNN-based open domain Q&A task.
- "If physical units of operands match each other"
 - o For the DNN in the MWP task
- "If the evidence and the hypothesis are exactly the same"
 - o For the DNN in the MRC task



DNN: a continuous mapping function (2)

Training data: <S, H, A>

- S: Supporting-Evidence; H: Hypothesis; A: Answer (Entail, Neural and Contradictory)
- O S = [Left, John, Mary], H = [Left, Mary, John], A = Contradictory (i.e., [0, 0, 1])

Test data

- S-1 = [Left, Jack, Jane], H-1 = [Left, Jane, Jack], A-1 = Contradictory ([0, 0, 1])]; Prediction: [0, 0, 1]
- S-2 = [Left, Jack, Jane], H-2 = [Left, Jane, John], A-2 = Neural ([0, 1, 0]);
 Prediction: [0, 0, 1]
- S-3 = [Left, table, chair], H-3 = [Left, chair, table], A-3 = Contradictory ([0, 0, 1]); Prediction: Unpredictable
- S-4 = [Above, table, chair], H-4 = [Above, chair, table], A-4 =
 Contradictory ([0, 0, 1]); Prediction: Unpredictable

How fast can BERT learn Binary Predicate?

- Fine-tune BERT to understand the predicate "left(x, y)"
 - "left(john, mary)" means "John is on the left side of Mary"
 - Trained from "BERT-Base, Uncased"
 - 12-layer, 768-hidden, 12-heads, 110M parameters
- Name sets
 - η↓L , η↓H , η↓c are sets of personal names
 - "nikki" is the centroid of 1465 collected personal names
 - η/L is a set of 147 names with low similarities to "nikki".
 - η/H is a set of 147 names selected from the remaining 1318 names which have high similarities to "nikki"
 - η √c is a set of the remaining 1171 names
 - η ↓F is a set of 10 words related to food and tableware
 - η IA is a set of 10 words related to animals

Learning Efficiency w/o Aggr. Feat.

Exp-B

Separator token

E: Entailment

C: Contradiction

N: Neutral

- Templates (supporting-evidence [sep] hypothesis → label)
 - left x y [sep] left $x y \rightarrow E$, left x y [sep] left $y x \rightarrow C$, left x y [sep] left $y z \rightarrow N$, ...
- Datasets
 - c/H/L/F/A-set is generated by fill the templates with $x,y,z \in \eta \int c/H/L/F/A$
- Exp-C2
 - Templates (supporting-evidence [sep] hypothesis [sep] $F \downarrow 1$ $F \downarrow 2$ → label)
 - left x y [sep] left x y [sep] true true → E,
 left x y [sep] left y x [sep] false false → C,
 left x y [sep] left y z [sep] false false → N, ...

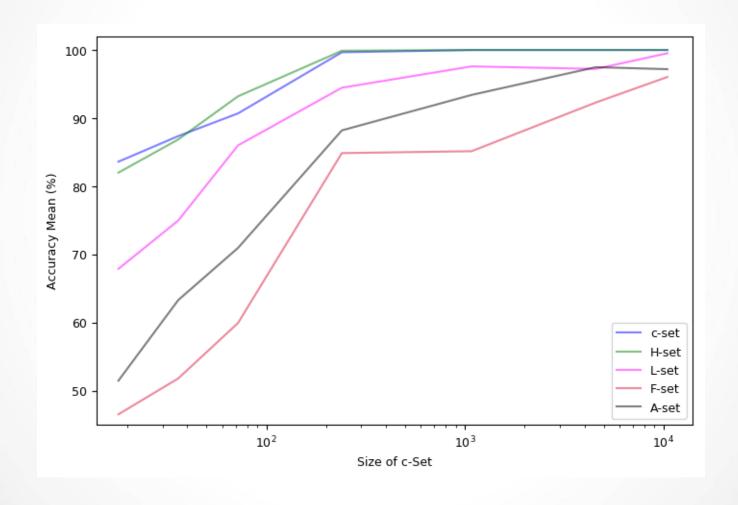
 $F \downarrow i$ is "true" if the i-th predicate arguments of the premise and the hypothesis are equal. Otherwise, $F \downarrow i$ is "false".

- Exp-C1
 - Templates (supporting-evidence [sep] hypothesis [sen] F → label)
 - left x y [sep] left x y [sep] true → E,
 left x y [sep] left y x [sep] false → C,
 left x y [sep] left y z [sep] fuzzy → N, ...

F is "true" if the argument pairs are equal. *F* is "false" if argument pairs are swapped, Otherwise, *F* is "fuzzy".

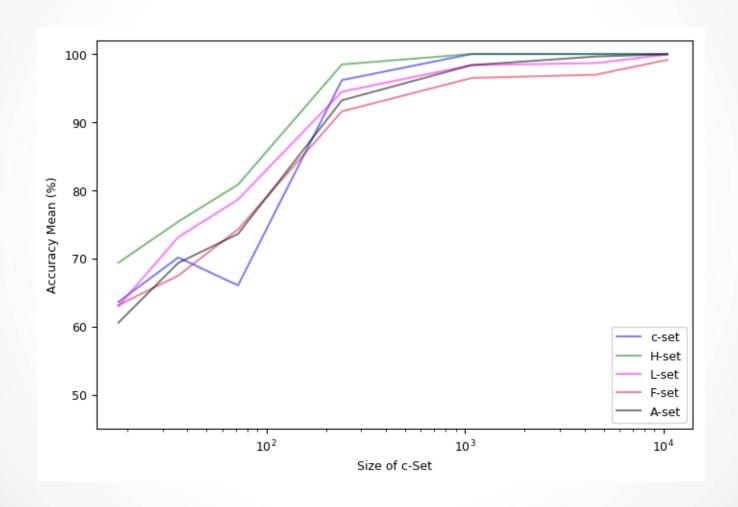


H-Best Performances of Exp-B



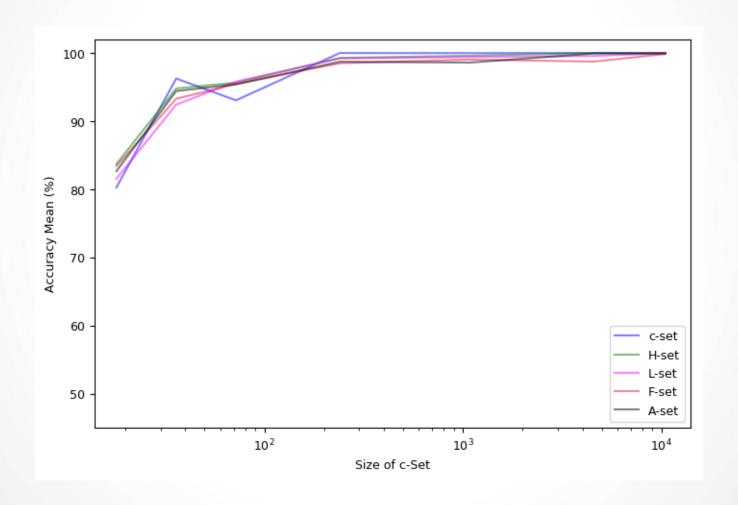


F-Best Performances of Exp-B



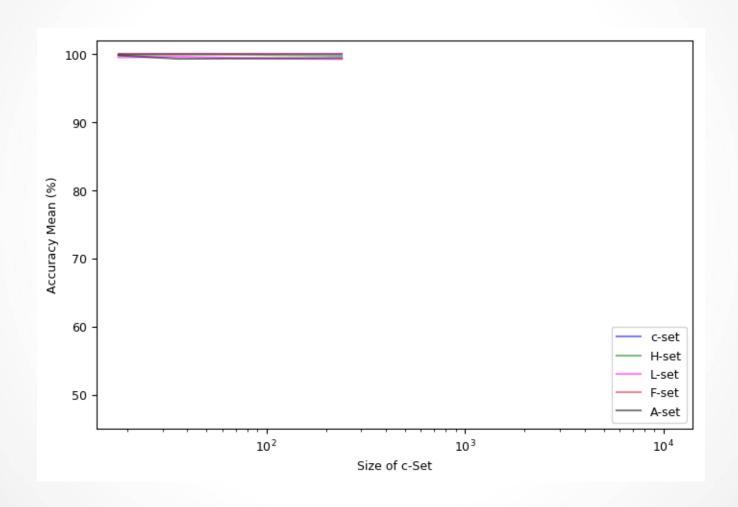


F-Best Performances of Exp-C2





F-Best Performances of Exp-C1





F-Best Performances of Exp-B/C2/C1

| Num. of | | Accuracy (%) | | | | | |
|----------|-------|--------------|-----|-----------------|-----|-----------------|-----|
| training | Set | Exp-B σ | | Exp-C2 σ | | Exp-C1 σ | |
| examples | | | | | | | |
| | c-set | 63.6 | 5.2 | 80.3 | 4.8 | 100.0 | 0.0 |
| | H-set | 69.4 | 3.8 | 83.7 | 2.6 | 99.9 | 0.1 |
| 18 | L-set | 63.0 | 4.0 | 81.6 | 2.7 | 99.5 | 0.2 |
| | F-set | 63.1 | 3.6 | 83.4 | 2.3 | 100.0 | 0.0 |
| | A-set | 60.6 | 3.6 | 82.7 | 2.5 | 99.8 | 0.1 |
| | c-set | 70.1 | 5.8 | 96.3 | 1.8 | 100.0 | 0.0 |
| | H-set | 75.4 | 3.8 | 94.8 | 1.5 | 99.9 | 0.1 |
| 36 | L-set | 73.1 | 3.4 | 92.4 | 1.6 | 99.6 | 0.2 |
| | F-set | 67.5 | 3.0 | 93.3 | 1.3 | 100.0 | 0.0 |
| | A-set | 69.3 | 3.1 | 94.4 | 1.0 | 99.3 | 0.5 |
| | c-set | 66.0 | 5.8 | 93.1 | 2.8 | 100.0 | 0.0 |
| 1 | H-set | 80.8 | 3.3 | 95.7 | 1.4 | 99.9 | 0.1 |
| 72 | L-set | 78.6 | 3.2 | 95.8 | 1.1 | 99.4 | 0.3 |
| | F-set | 74.3 | 2.4 | 95.4 | 1.0 | 100.0 | 0.0 |
| | A-set | 73.6 | 2.8 | 95.4 | 1.0 | 99.3 | 0.4 |
| | c-set | 96.2 | 3.3 | 100.0 | 0.0 | 100.0 | 0.0 |
| | H-set | 98.5 | 1.2 | 99.3 | 0.5 | 99.7 | 0.2 |
| 240 | L-set | 94.5 | 1.6 | 99.2 | 0.4 | 99.2 | 0.5 |
| | F-set | 91.6 | 1.1 | 98.5 | 0.4 | 100.0 | 0.0 |
| | A-set | 93.2 | 1.7 | 98.7 | 0.5 | 99.4 | 0.4 |



F-Best Performances of Exp-B/C2/C1 (cont'd.)

| Num. of | | Accuracy (%) | | | | | |
|----------|-------|----------------------|-----|-----------------------|-----|-----------------------|--|
| training | Set | μ Exp-B σ | | μ Exp-C2 σ | | μ Exp-C1 σ | |
| examples | | | | | | | |
| 1080 | c-set | 100.0 | 0.0 | 100.0 | 0.0 | | |
| | H-set | 100.0 | 0.0 | 99.6 | 0.4 | | |
| | L-set | 98.4 | 0.2 | 99.4 | 0.2 | | |
| | F-set | 96.5 | 1.2 | 99.0 | 0.4 | | |
| | A-set | 98.4 | 0.7 | 98.6 | 0.8 | | |
| 4560 | c-set | 100.0 | 0.0 | 100.0 | 0.0 | | |
| | H-set | 100.0 | 0.0 | 100.0 | 0.0 | | |
| | L-set | 98.7 | 0.3 | 99.6 | 0.1 | | |
| | F-set | 97.0 | 0.9 | 98.7 | 0.4 | | |
| | A-set | 99.6 | 0.2 | 99.9 | 0.0 | | |
| 10440 | c-set | 100.0 | 0.0 | 100.0 | 0.0 | | |
| | H-set | 100.0 | 0.0 | 100.0 | 0.0 | | |
| | L-set | 99.9 | 0.0 | 100.0 | 0.0 | | |
| | F-set | 99.1 | 0.3 | 99.9 | 0.1 | | |
| | A-set | 100.0 | 0.0 | 99.9 | 0.0 | | |

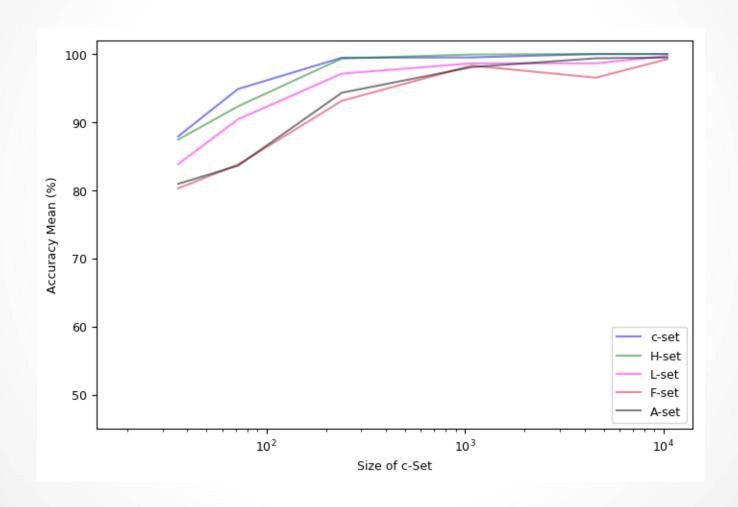


Experiment on Natural Language Predicates

- Exp-N-B, Exp-N-C2 and Exp-N-C1
 - The datasets of Exp-N-B, Exp-N-C2 and Exp-N-C1 are transformed from the datasets of Exp-B, Exp-C2 and Exp-C1 respectively
 - o The predicate "left x y" in the premise or the hypothesis is transformed with one of the following 9 templates randomly
 - x is at/on/to the left of y
 - x is at the left of y
 - o x is on the left of y
 - o x is to the left of y
 - x is at/on/to the left side of y
 - x is at/on/to the left hand side of y

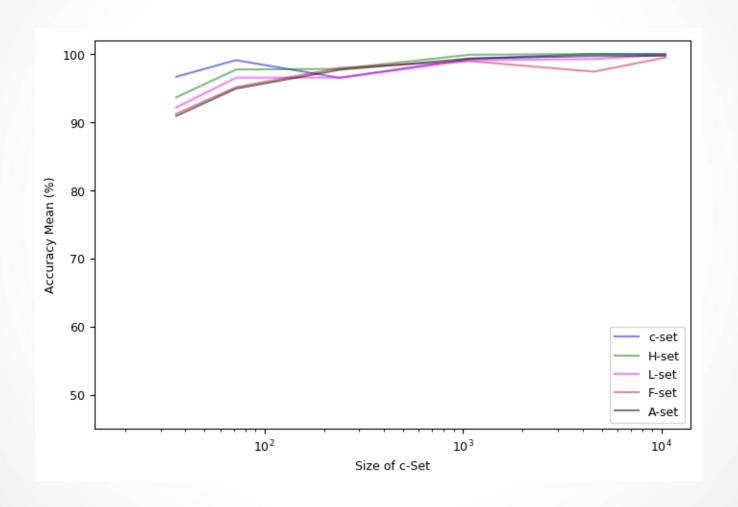


F-Best Performances of Exp-N-B



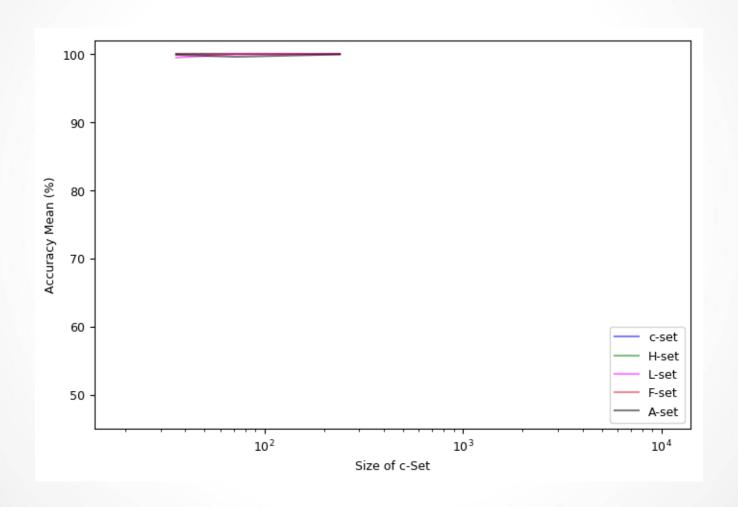


F-Best Performances of Exp-N-C2





F-Best Performances of Exp-N-C1





Integrate Domain-Concept into DNN (1/3)

- Preprocess the input text
 - E.g., convert personal-names and numerical values into metatokens in MWP
- Incorporate additional human-crafted features into DNN
 - E.g., add Exactly-the-Same feature in Q&A task
- Adopt existing linguistic resources as external knowledge
 - E.g., WordNet and ConceptNet
- Adopt a better intermediate representation to train DNN
 - Decompose the task into several meaningful sub-tasks
 - E.g., Logic predicate for MWP



Common-Sense Q&A (Talmor et al., 2019)

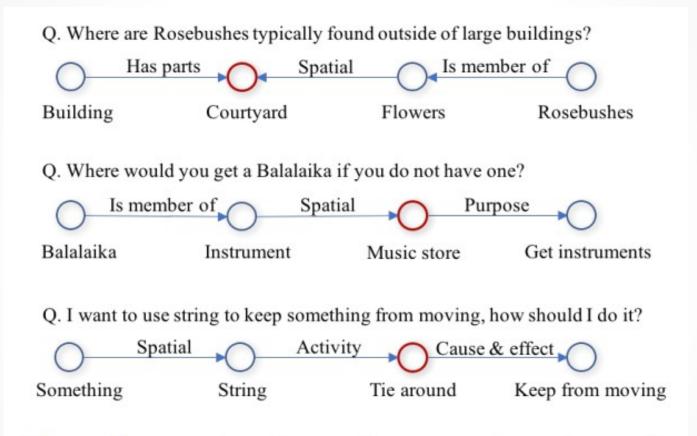


Figure 3: Examples of manually-annotated questions, with the required skills needed to arrive at the answers (red circles). Skills are labeled edges, and concepts are nodes.



Integrate Domain-Concept into DNN (1/3)

- Preprocess the input text
 - E.g., convert personal-names and numerical values into metatokens in MWP
- Incorporate additional human-crafted features into DNN
 - E.g., add Exactly-the-Same feature in Q&A task
- Adopt existing linguistic resources as external knowledge
 - E.g., WordNet and ConceptNet
- Adopt a better intermediate representation to train DNN
 - Decompose the task into several meaningful sub-tasks
 - E.g., *Logic predicate* for MWP



If Intermediate Repr. is Meaningful

- Inference will be explainable
 - E.g., alignment
- Learning will be more efficient
 - Shaping Learning
- Generalization Capability will be higher
 - Better Initialization
- Debugging will be easier
 - Performance could be checked stage by stage

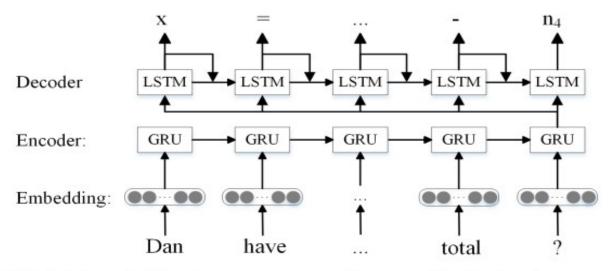


Seq2Seq MWP Solver (Wang et al., 2017)

Equation: x=5+4+3-2; solution: [10]

Applying number mapping to equation form

Model output (Equation template): $x=n_1+n_3+n_2-n_4$



Model input: Dan have n_1 pens and n_2 pencils, Jessica have n_3 more pens and n_4 less pencils than him. How many pens and pencils do Jessica have in total?

Number mapping:
$$\{n_1=5, n_2=3, n_3=4, n_4=2\}$$

Problem: Dan have 5 pens and 3 pencils, Jessica have 4 more pens and 2 less pencils than him. How many pens and pencils do Jessica have in total?

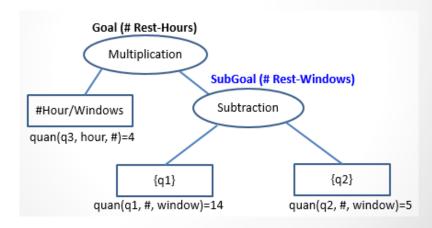


Multi-Step MWPs (2)

A new building *needed 14 windows*. The builder had already *installed 5 of them*. If it takes *4 hours to install each window*, how long will it take him to install the rest?

The ANSWER could be Solved by

- (#Rest-Windows)=14-windows 5-windows
- (#Rest-Hours)
 - =(#Rest-Windows)
 - x 4 hours/window



Integrate Domain-Concept into DNN (2/3)

- Adjust DNN architecture toward human reasoning style
 - E.g., add an alignment layer to DNN in entailment judgment
- Add preferences implied by domain knowledge during DNN training process
 - E.g., add human preferred tendency to train word-embeddings
 - $W_{bad} = W_{good}$ (Li et al., IJCAI-2018)
- Pre-store the domain-knowledge into a memory network
 - E.g., add a Memory Network
- Integrate DNN into original operation flow (instead of end-to-end)



E.g., replace statistical approach with DNN for the STC module in MWP

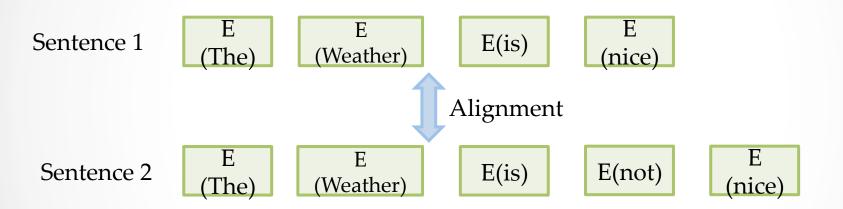
Observations (1/3)

- Previous DNN approaches for entailment judgment
 - Form two *sentence-embedding* vectors independently
 - sentence-embedding is formed by simply summing associated word-embeddings
- One major problem *Information Loss!*
 - Overlapping feature space upon vector addition.
 - Less discriminative power for NN models that treat the sentence as a unit.
 - Scenario A: Distance between sentence-embeddings is *large*
 - Scenario B: Distance between sentence-embeddings is *small*



Alignment/Attention: only check words in H

Instead, Align sentential units (words, phrases, etc.):



S: Bob is in his room, but because of the thunder and lightning outside, he cannot sleep.

H1: Bob is awake. H2: It is sunny outside.



A Decomposable Attention Model for Natural Language Inference, Ankur P. Parikh et al., EMNLP-2016

Decomposable DNN (Parikh et al., 2016)

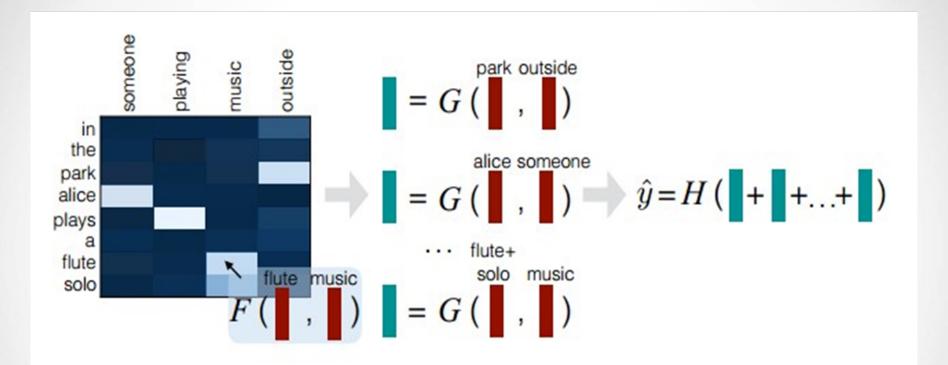


Figure 1: Pictoral overview of the approach, showing the *Attend* (left), *Compare* (center) and *Aggregate* (right) steps.

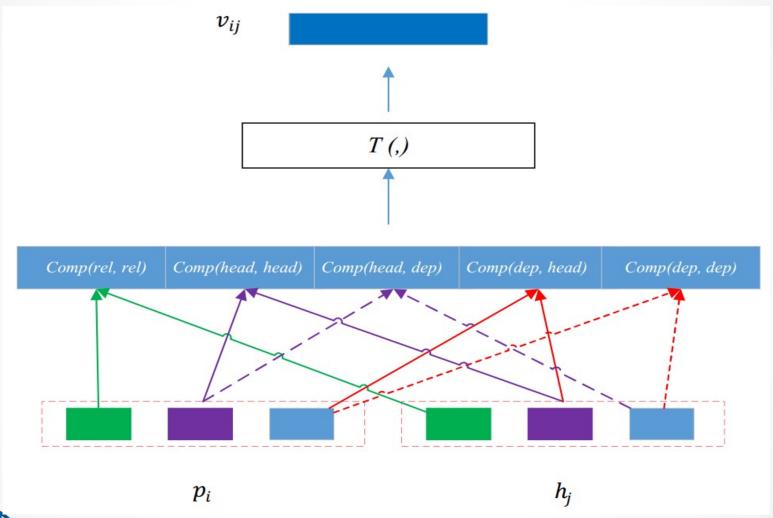


Word-Pair Dependency (1/3)

- **Premise**: An older man sits with his orange juice at a **small** table in a coffee **shop** while employees in bright colored shirts smile in the background.
- **Hypothesis**: An elderly man sitting in a **small shop**.
- Relation(Head, Dependent) (Du et al., 2018)



Word-Pair Dependency (2/3)





Integrate Domain-Concept into DNN (2/3)

- Adjust DNN architecture toward human reasoning style
 - E.g., add an alignment layer to DNN in entailment judgment
- Add preferences implied by domain knowledge during DNN training process
 - E.g., add human preferred tendency to train word-embeddings
 - W_{king} $W_{queen} = W_{husband}$ W_{wife} (Li et al., IJCAI-2018)
- Pre-store the domain-knowledge into a memory network
 - E.g., add a Memory Network
- Integrate DNN into original operation flow (instead of end-to-end)



E.g., replace statistical approach with DNN for the STC module in MWP

Adding Constraints (Li et al., IJCAI-18)

Learning Word Vectors with Linear Constraints (19,544 Q)

•
$$W_{king}$$
 - $W_{queen} = W_{husband}$ - W_{wife}

| Models | | | Analogy | | |
|--------------|-----|------|---------|------|---------|
| Name | Dim | Size | SEM | SYN | Overall |
| SVD | 100 | 1.0B | 23.4 | 31.3 | 27.4 |
| GloVe | 100 | 1.0B | 46.3 | 38.4 | 42.3 |
| CBOW | 100 | 1.0B | 34.8 | 37.0 | 36.0 |
| SG | 100 | 1.0B | 49.7 | 39.4 | 44.1 |
| Additive(1%) | 100 | 1.0B | 74.2 | 66.3 | 70.2 |
| Additive(2%) | 100 | 1.0B | 89.2 | 91.3 | 90.3 |
| Additive(3%) | 100 | 1.0B | 100.0 | 99.7 | 99.9 |
| SVD | 200 | 1.0B | 23.4 | 31.2 | 27.3 |
| GloVe | 200 | 1.0B | 58.7 | 49.5 | 54.0 |
| CBOW | 200 | 1.0B | 40.8 | 42.9 | 42.0 |
| SG | 200 | 1.0B | 63.5 | 47.0 | 54.5 |
| Additive(1%) | 200 | 1.0B | 74.2 | 66.3 | 70.2 |
| Additive(2%) | 200 | 1.0B | 89.3 | 91.3 | 90.3 |
| Additive(3%) | 200 | 1.0B | 100.0 | 99.8 | 99.9 |
| SVD | 200 | 4.2B | 38.3 | 46.6 | 42.5 |
| GloVe | 200 | 4.2B | 71.1 | 60.5 | 65.7 |
| CBOW | 200 | 4.2B | 52.9 | 55.3 | 54.1 |
| SG | 200 | 4.2B | 70.8 | 63.3 | 67.0 |
| Additive(1%) | 200 | 4.2B | 74.2 | 66.3 | 70.2 |
| Additive(2%) | 200 | 4.2B | 88.9 | 90.7 | 89.8 |
| Additive(3%) | 200 | 4.2B | 100.0 | 99.9 | 99.9 |



Integrate Domain-Concept into DNN (2/3)

- Adjust DNN architecture toward human reasoning style
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E.g., replace statistical approach with DNN for the STC module in MWP

DNN-based STC and LFT (Liang et al., 2018)

Math Word Problem Paragraph Language Analysis (body text and questions) Semantic Sequences **Solution Type Classifier Logic Form Converter Inference Engine Question-Answer Pairs Explanation Generation Explanation Texts**



Integrate Domain-Concept into DNN (3/3)

- Train the DNN model *iteratively/jointly* with domain knowledge representation
 - Enhance generalization and improve interpretability
 - E.g., *iterative distillation* (Hu et al., 2016a), which transfer the structured information of first-order logic rules into the weights of neural networks.
 - E.g., *mutual distillation* (Hu et al., 2016b), which transfers information between DNN and structured constraints for effective knowledge learning.



Iterative Distillation (1/2; Hu et al., 2016a)

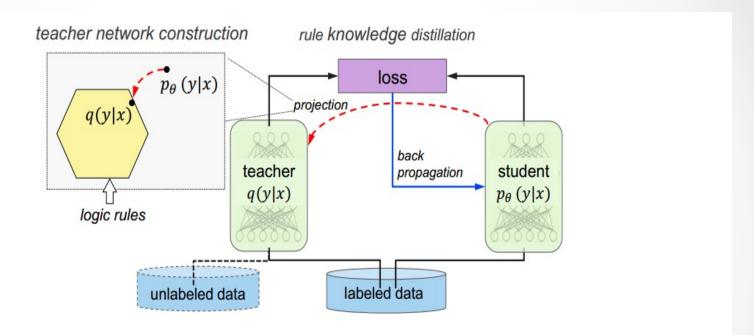


Figure 1: Framework Overview. At each iteration, the teacher network is obtained by projecting the student network to a rule-regularized subspace (red dashed arrow); and the student network is updated to balance between emulating the teacher's output and predicting the true labels (black/blue solid arrows).



Mutual Distillation (1/2; Hu et al., 2016b)

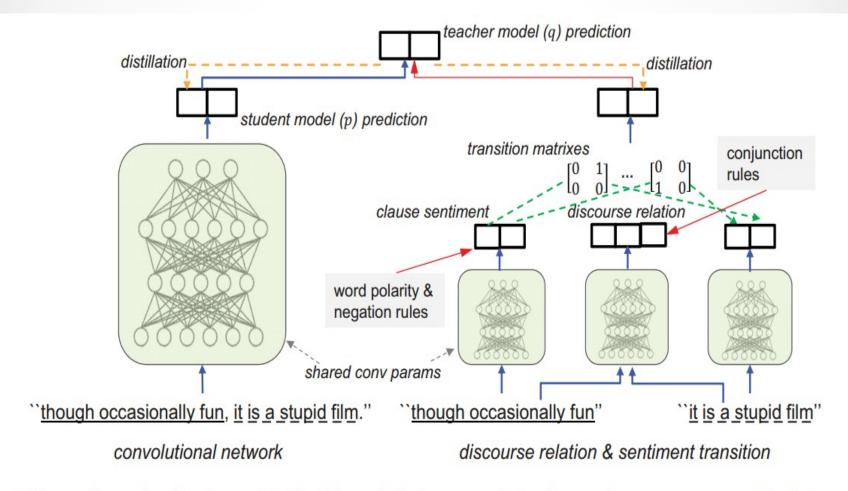


Figure 1: Our sentiment classification model. The left part is the base convolutional network over sentences, and the right part is the knowledge component over clauses. Blue arrows denote neural feed-forwards; red arrows denote knowledge incorporation steps; and the orange dashed arrows denote the distillation processes. The convolutional parameters are shared across all the networks.



Who will win?

History

- o Around 1990: NN vs. HMM in speech recognition
 - "Training Data Only" versus "Training Data + Human Knowledge"
- Now: Researchers are adding more domain knowledge into vanilla DNN
 - NMT: Seq2Seq → Attention → Tree-based → Tree-Coverage measure

History will repeat

- o "Domain Knowledge Guided DNN" vs. "Vanilla DNN"
- To outperform other approaches in the same data-set, you should add domain knowledge
 - NLP/NLU needs more guidance from domain knowledge



Q&A

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